

0300 #9



**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
01-023

2.5

In Re Application Of: **ALDERUCCI et al.**

Serial No.
09/933,588

Filing Date
August 21, 2001

Examiner
Not Yet Assigned

Group Art Unit
Not Yet Assigned

Title: **METHOD AND APPARATUS FOR PROVIDING A SUPPLEMENTARY PRODUCT SALE AT A
POINT-OF-SALE TERMINAL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

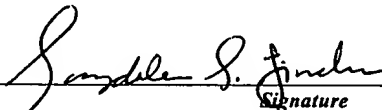
Transmitted herewith is:

**Supplemental Information Disclosure Statement (5 pp.);
PTO Form-1449 (4 pp.), and references cited therein (50); and
Return Receipt Postcard.**

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in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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Signature

Dated: **October 4, 2001**

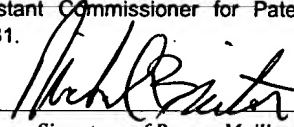
Magdalena M. Fincham
Agent for Applicants
PTO Registration No. 46,085
(203) 461-7041/phone
(203) 461-7300/fax

Customer No. 22927

Mfincham@walkerdigital.com

CC:

I certify that this document and fee is being deposited
on **October 4, 2001** with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
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20231.



Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: ALDERUCCI et al.

Serial No.: 09/933,588

Filing Date: August 21, 2001

For: METHOD AND APPARATUS FOR
PROVIDING A SUPPLEMENTARY
PRODUCT SALE AT A POINT-OF-
SALE TERMINAL

) Examiner: Not Yet Assigned
)
) Group Art Unit: Not Yet Assigned
)
) Attorney Docket No: 01-023
)
) Customer No.: 22927



Assistant Commissioner for Patents
Washington, D.C. 20231

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

1. ☐ Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request.
2. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): _____
_____.
3. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): _____.
4. ☒ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:

☒ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.

☐ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.
5. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of

Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.

6. [] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.

7. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:

- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
- b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
- c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.

8. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

- [] 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;

☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.

☐ The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 10 below.

9. ☐ I hereby certify:

☐ that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.

☐ that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

10. ☐ Please accept payment of the fees due as indicated below:

☐ A check in the amount of \$240.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).

☐ The Commissioner is hereby authorized to charge \$240.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p). A duplicate copy of this sheet is attached for such purpose

☐ A check in the amount of \$130.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(i)(1).

☐ The Commissioner is authorized to charge \$130.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(i)(1). A duplicate copy of this sheet is attached.

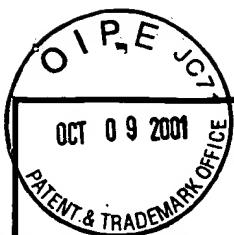
11. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

12. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with a Request for Continued Examination.

Respectfully submitted,

October 4, 2001
Date


Magdalena M. Fincham
Agent for Applicants
Registration No. 46,085
Mfincham@WalkerDigital.com
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905
(203) 461-7041/phone
(203) 461-7300 /fax

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRI- ATE
	A	4,723,212	02/2/88	Mindrum et al.	364	401	
	B	4,908,761	03/13/90	Tai	364	401	
	C	4,973,952	11/27/90	Malec et al.	340	825.350	
	D	5,128,862	07/7/92	Mueller	364	405	
	E	5,132,914	07/21/92	Cahlander et al.	364	478	
	F	5,173,851	12/22/92	Off et al.	364	401	
	G	5,192,854	03/9/93	Counts	235	375	
	H	5,201,010	04/6/93	Deaton et al.	382	7	
	I	5,235,509	08/10/93	Mueller et al.	364	405	
	J	5,245,533	09/14/93	Marshall	364	401	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	Translation	
							Yes	No
	K	EP 512413 A	11/11/92	Europe (Abstract)				
	L	JP 5242363 A	09/21/93	Japan (Abstract)				

OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)

	M	Cook, Louise, "ConsumerWatch: Clip, Snip, Save", The Associated Press, March 12, 1984, Section: Business News.

EXAMINER

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	N	5,302,811	04/12/94	Fukatsu	235	381	
	O	5,353,218	10/4/94	De Lapa et al.	364	401	
	P	5,353,219	10/4/94	Mueller et al.	364	405	
	Q	5,380,991	01/10/95	Valencia et al.	235	383	
	R	Re. 34,915	04/25/95	Nichtberger et al.	364	401	
	S	5,481,094	01/2/96	Suda	235	383	
	T	5,510,979	04/23/96	Moderi et al.	364	405	
	U	5,581,064	12/3/96	Riley et al.	235	383	
	V	5,602,377	02/11/97	Beller et al.	235	462	
	W	5,612,868	03/18/97	Off et al.	364	214	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	Translation	
							Yes	No
	X	WO 97/35441	09/25/97	PCT				
	Y	WO 97/50064	12/31/97	PCT				

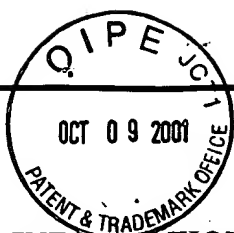
OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)

	Z	"Coupons get serious; supermarkets use barcodes to prevent misredemptions", Chain Store Age Executive with Shopping Center Age, October 1992, Vol. 68, No. 10, pg. 68, ISSN: 0193-1199.					

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	AA	5,620,079	04/15/97	Molbak	194	217	
	BB	5,621,640	04/15/97	Burke	395	214	
	CC	5,665,953	09/9/97	Mazzamuto et al.	235	383	
	DD	5,724,886	03/10/98	Ewald et al.	99	374	
	EE	5,822,735	10/13/98	De Lapa et al.	705	14	
	FF	5,832,457	11/3/98	O'Brien et al.	705	14	
	GG	5,880,449	03/9/99	Teicher et al.	235	383	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	Translation	
							Yes	No

OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)

	HH	Bonnici, Joseph et al., "Consumer issues in coupon usage: An exploratory analysis", Journal of Applied Business Research, Winter 1996/1997, Vol. 13, No. 1, pp. 31-40, ISSN: 0892-7626, CODEN: JPBEBK.
	II	Kirk, Jim, "Digital Promotions Make Quick Point; McDonald's Testing New Technology On Its Menus", Chicago Tribune, December 26, 1997, Section: Business, pg. 1, ZONE: N.

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JJ	"It's in the Bag. Introducing the Universal Holding Cabinet from Welbilt.®", Frymaster, 1998 brochure.
KK	"Progressive Introduces Kitchen Display System (KDS) For Restaurants ", PR Newswire, January 23, 1998, Section: Financial News.
LL	"From Our Family to Yours - 5 Weeks of Coupon Values for a Valuable Customer!", Wakefern Food Corporation, February 1998.
MM	Blair, Adam, "JICC Coupon Guide Recommends Upgrades At Front End", Supermarket News, February 9, 1998, Section: pg. 23, ISSN: 0039-5803.
NN	"Advanced Mechanics Internet Specials", Advanced Mechanics, (http://www.metroplexweb.com/advcpn2.htm), download date: March 12, 1998.
OO	"U.P.C. Coupon Code Guidelines Manual - Section...", Uniform Code Council, Inc., (http://www.uc-council.org/d31-3.htm), download date: March 12, 1998.
PP	"New Wave Marketing", Promotion Times - An SCA Quarterly Newsletter - First Quarter, April 1998.
QQ	Hamstra, Mark, "'Made-for-you' maneuvers signal competitive shift n QSR category", National Restaurant News, April 13, 1998, 3pgs.
RR	"NCR 7453 PC-Based Point-of-Sale Solution", NCR, Winter 1998.
SS	"Universal Holding Cabinet Rollout Program", H & K Dallas Inc., Winter 1998.
TT	"POSitive Input; The McDonald's POS-3 System Newsletter from Olivetti Solutions/OLSY", McDonald's, Spring/Summer Edition 1998, pp. 1-8.
UU	Wingfield, Nick, "Priceline to launch online 'yard sales'", WSJ Interactive Edition, December 27, 1999, (http://www.zdnet.com/filters/printerfriendly/0,6061,2413811-2,00.html).
VV	"For the Crew & the Customer - The Best Drive-Thru & Grill Service", Olivetti, brochure.
WW	"Introducing the Digital MenuBoard", Siren Technologies, brochure.

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